



## 408(b)(2) Direct Compensation

### **Service Provider's Direct Compensation Disclosure Under ERISA Section 408(b)(2)**

Securities Service Network, LLC. (hereinafter referred to as “SSN” or” We”) is a fully disclosed introducing Broker/Dealer registered with the Financial Industry Regulatory Authority, Inc. As a “covered service provider” as defined by ERISA, we are required to disclose direct compensation that is deducted from your account. This information is provided to help responsible plan fiduciaries determine whether the contracts or arrangements they have with us are reasonable, including the compensation we receive. It is also intended to disclose any potential conflicts of interest that may affect our performance. If you have any questions about this disclosure, please call your Registered Representative. You may also write to us to request information concerning our compensation that is necessary to help you comply with your plan’s reporting requirements. We will be pleased to respond within 30 days of your written request.

This disclosure only applies to accounts carried by National Financial Services, LLC, Member NYSE/SIPC and Pershing, LLC. In addition to the items outlined below, we may receive other direct compensation to be determined based upon the nature and type of payment. All, or a portion, of the fees disclosed below may be paid by SSN to National Financial Services, LLC or Pershing, LLC.



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### **If your account is carried by National Financial Services, LLC:**

Annual Premiere Select Retirement Account Maintenance Fee	\$40
Alternative Investment Custody and Valuation Fee (per CUSIP)	\$125
Premiere Select Retirement Account Termination Fee	\$95
Check Reorders	\$0 – \$5
Stop Payments	\$15
Fed Fund Wires	\$15
Legal Returns from NFS/each (All Securities)	\$70
Legal Stock Transfer/each (All Securities)	\$100
Overnight Checks	\$20
Periodic Investment Program (PIP)/per transaction	\$0 – \$3.50
Physical Reorganizations/each	\$50
Returned Incoming checks/each	\$20
Stop Payment for outgoing check/each	\$25
Systematic Withdrawal Program (SWP)/per transaction	\$0 – \$3.50
Transfer and Ship/each – DRS Eligible	\$20
Transfer and Ship/each – DRS Ineligible	\$235
Physical Safekeeping	\$10
Alternative Investment Transfer/Re-registration	\$100
Full TOA Delivery (Premiere Select Retirement Accounts)	\$135
Trade Extensions	\$25
Mailgrams	\$15
12b-1 Fees	0% – 1.25%
Revenue Sharing	0% – 0.13%
Clearance and Execution (per transaction)	\$0 – \$45.00
Clearance and Execution (per share)	\$0 – \$1.75
Confirmation Fee (per transaction)	\$0 – \$7.95



## 408(b)(2) Direct Compensation

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**If your account is carried by Pershing, LLC:**

Annual Qualified Fee	\$50
Qualified Account Termination Fee	\$75
Check Reorders	\$0 – \$12.50
Return check fee	\$25
Stop Payments	\$0 – \$25
Insufficient Funds	\$60
Physical Security Safe Keeping Fee- Monthly	\$10
Equity Dividend Reinvestment Fee- Each	\$1
Electronic Funds Transfer	FREE
Fed Fund Wires	\$25
Legal Security Deposit/Transfer -Each	\$60
Overnight Checks	\$20
Periodic Investment Program (PIP)/per transaction	\$0 – \$3.50
Returned ACH Charge/each	\$60
Returned Incoming checks/each	\$60
Special Products Fee (LP/REIT) Each	\$25
Systematic Withdrawal Program (SWP)/per transaction	\$0 – \$3.50
Transfer and Ship/each (Physical)	\$65
Transfer and Ship/each (DRS)	\$15
Full TOA Delivery (Retirement Accounts)	\$115
Extensions \$15 Security Transfer	\$0 – \$65
12b-1 Fees	0% – 1.25%
Revenue Sharing	0% – 0.15%
Clearance and Execution (per transaction)	\$0 – \$45.00
Clearance and Execution (per share)	\$0 – \$1.75
Confirmation Fee (per transaction)	\$0 – \$7.95