



Schedule of Miscellaneous Account and Service Fees

National Financial

The listed fees do not include commissions, markups, commission equivalents or advisory fees. Some of these fees may not apply to all account types. Some of these fees may be waived under certain conditions.

Account or Service	Fee Amount	Frequency
Account Maintenance		
Annual Custodial Fee <i>Charge on accounts that do not meet minimum activity criteria</i>	\$50	Annual
Full Transfer Delivery	\$150	At Occurrence
Trade Extension	\$25	Per Occurrence
Mailgram	\$15	Per Occurrence
Interest Charged on Margin Debit Balances	NFBLR + 1% - 3%	Monthly
Retirement Account Fees		
Premiere Select IRA Maintenance Fee	\$40	Annual
Premiere Select Retirement Plan Maintenance Fee	\$35	Annual
Premiere Select Retirement Account Termination Fee	\$125	At Account Closure
Cash Management Services		
Brokerage Access	\$0 - \$60	Annual
Brokerage Portfolio	\$120 - \$180	Annual
Checkbook Reorder	\$0 - \$5	Per Reorder



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continued

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Cash Management Services (continued)		
Brokerage Access/Brokerage Portfolio Stop Payment	\$10	Per Occurrence
Brokerage Access/Brokerage Portfolio Return Check	\$25	Per Occurrence
Federal Funds Wire	\$15	Per Occurrence
Overnight Check	\$20	Per Occurrence
Incoming Returned Check	\$20	Per Occurrence
Outgoing Check Stop Payment	\$25	Per Occurrence
Investment Specific		
Alternative Investments		
Annual Custody and Valuation Fee:		
• Registered Position	\$35	Per Position <i>Max Annual Fee of \$500</i>
• Unregistered Position	\$125	
Transfer/Re-registration Fee	\$100	Per Position Each Occurrence
Mutual Fund Periodic Transaction		
Periodic Mutual Fund Purchase/Liquidation	\$0 - \$3.50	Per Position Each Occurrence
Physical Certificate		
Legal Stock Transfer <i>Re-titling a deposited certificate to match the account's registration</i>	\$100	Per Position Each Deposit
Legal Stock Return <i>Return of a deposit certificate that is not in good order</i>	\$70	Per Position Each Deposit



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continued

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Physical Certificate (continued)		
Transfer and Ship: <ul style="list-style-type: none"> • DRS Statement • Certificate 	\$20 \$235	Per Position Each Delivery
Safekeeping <i>Storage of physical certificates that cannot be registered in street name</i>	\$10	Per Certificate Each Month
Physical Reorganization <i>Updating a deposited certificate after a mandatory corporate reorganization event</i>	\$50	Per Position Each Deposit