



Strategic Partner and Vendor Relationship Financial Disclosure

Securities Service Network, Inc. (SSN) receives revenue sharing payments and or marketing reimbursements from certain product providers. Such payments create a conflict of interest in that SSN may receive a higher level of compensation for sales in such products.

SSN has negotiated payments from various product sponsors for participation in our Strategic Partner Program. The details of the Program are contained in the attached brochure. In addition, SSN may also receive revenue sharing payments from other product sponsors or vendors outside of the Strategic Conference Partner Program.

We receive payments from mutual fund companies, insurance companies, insurance marketing organizations, registered investment advisory firms and alternative investment sponsors. Payment may be in the form of a fixed dollar fee of up to \$22,000 and/or include a variable component of up to 1.5% of the amount of sales conducted through SSN in the particular product.

SSN may also receive revenue sharing payments from our clearing firms, National Financial Services, Inc. and Pershing, LLC, as well as from the investment products offered on their respective platforms. This may include payments for balances within client money market funds and FDIC insured deposits. Such payments will reduce the yield received by the investor on such accounts. Investors are free to select money market funds that do not pay a 12b-1 or similar fee as described in the prospectus.



Strategic Partner and Vendor Relationship Financial Disclosure *continued*

SSN currently has revenue sharing agreements in place with the following companies:

- National Financial Services, LLC
- Pershing LLC
- Allianz Life Insurance Company
- Brokerage Professionals
- BTS Assest Management
- CLS Investments, LLC
- Cole Capital Corporation
- Creative Marketing International Corporation
- Compass EMP
- Curian Capital
- Dividend Capital Securities LLC
- First Trust
- Franklin Square
- Great-West Life & Annuity Insurance Company
- Griffin Capital
- Hines Real Estate Investments
- Inland Real Estate Income Trust
- IronHorse Capital, LLC
- Jackson National Life Insurance Company
- Lord Abbett
- The MacNamee Group
- The National Benefit Corporation
- Nationwide Financial



Strategic Partner and Vendor Relationship Financial Disclosure *continued*

- Pinnacle Insurance & Financial Services
- Princeton Fund Advisors
- Prudential Annuities
- Realty Capital Securities, LLC
- Sammons Financial Network
- SEI Investments
- Tarkenton Financial
- Voya

SSN does not receive any revenue sharing or conference participation payments based upon product sales to ERISA qualified accounts.

If you have any questions regarding this disclosure you may email SSN directly through this website at compliance@ssnetwork.com.