



Securities Service Network, Inc. Privacy Policy

Your Investment Representative works in conjunction with Securities Service Network Inc. Securities Service Network, Inc. (hereinafter referred to as SSN) is a Broker/Dealer registered with the Financial Industry Regulatory Authority, Inc. (hereinafter referred to as "FINRA"); SSN Advisory, Inc. (hereinafter referred to as SSNAI), is a Registered Investment Advisor registered with the Securities Exchange Commission. SSN and SSNAI (hereinafter referred to as "The Firm" or "SSN") are under common control. The Firm is required to communicate its policies related to the privacy of customer information. We are proud of our privacy practices and procedures and we want you to know how we work with your representative to protect your information and use your information to service your account.

The policy outlined below also includes several important disclosures. If your investment representative leaves SSN to join another brokerage firm, they are permitted to retain copies of your information so that they may continue to service your account. The representative is still required to keep your information confidential and may only share the information with their new firm to properly service your account.

If you would like your representative to retain your information so that they can continue to service your account in the event that they leave SSN, DO NOT RESPOND TO THIS NOTIFICATION.

If, however, you do not want your representative to retain this information in the event that they leave SSN, you must complete the opt-out form that is included at the end of this notice. This form should be completed in its entirety or the Firm will be unable to process your request.

In order to facilitate the servicing of your account, The Firm may receive nonpublic personal information about you from the following sources: (1) Information we receive from you on applications, account opening documents or other forms; (2) Information about your transactions with others, or us; (3) Information we receive from a consumer reporting agency; and (4) From other sources with your consent or with the consent of your registered representative.

We do not disclose any nonpublic personal information about you or anyone, except as permitted by law. Such disclosure may include the following: (1) Affiliates, including affiliated service providers (for example, insurance agencies for processing of insurance applications on your behalf; (2) Government agencies and law enforcement officials (for example, for tax reporting or under a court order); and(3) Other organizations, with your consent or as directed by your registered representative (for example, your accountant or attorney's firm for tax preparation or estate planning).

The Firm also considers privacy, security and service to be just as critical in the online environment as in the rest of our operation. As a result, we have encryption, firewall and password protections in place. The Firm restricts access to your personal and account information to those of its employees who need to know that information to provide products or services to you. The Firm maintains physical, electronic, and procedural safeguards to guard your nonpublic personal information.

We will continue to adhere to the privacy policies and practices as described in this notice if you decide to close your account(s), or become an inactive customer. If you have any questions concerning The Firm's privacy policy and procedures, please feel free to contact us at 800-264-5499.

Other Disclosures

Information regarding your registered representative may be obtained from the FINRA BrokerCheck® program online at www.finra.org, or by calling 800/289-9999. An investor brochure that includes information describing FINRA BrokerCheck® is available by calling 800/289-9999.

SSN is a member of the Securities Investor Protection Corporation (hereinafter referred to as "SIPC"). You may obtain information about SIPC, including the SIPC brochure, by contacting SIPC at 202/371-8300, or by visiting the SIPC website at www.sipc.org.

If you are a client of SSN Advisory, Inc., and would like to receive a free copy of SSNAI's Form ADV Part II, please contact the office of your Investment Advisor Representative or SSNAI at (800) 264-5499.

<p>Q: What do I do if I want my representative to retain my information so that they can continue to service my account in the event that they leave SSN?</p> <p>A: <u>Do not respond to this notification.</u></p>	<p>Q: What do I do if I do not want my representative to retain my information in the event that they leave SSN?</p> <p>A: Complete the opt-out form below and return it to the Firm at P. O. Box 31469, Knoxville, TN 37930-1469. This form should be completed in its entirety or The Firm will be unable to process your request.</p> <p>_____</p> <p>FIRST NAME MIDDLE INITIAL LAST NAME</p> <p>_____</p> <p>LEGAL STREET ADDRESS LAST 4 OF SOCIAL SEC NUM</p> <p>_____</p> <p>CITY STATE ZIP CODE</p>
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